



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Inventor(s): MATSUNO, Akihisa

OPTICAL DISC STORAGE APPARATUS Title:

Serial No.: 10/644813 21 August 2003 Filed: Date: 17 November 2003

Mail Stop PGPUB
Director of the United States Patent & Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

United States Patent Documents

	Patent No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
US-	4613044	September 23, 1986	Saito et al.
US-	4736840	April 12, 1988	Deiglmeier
US-	4998618	March 12, 1991	Borgions
US-	5011010	April 30, 1991	Francis et al.
US-	5168991	December 8, 1992	Whitehead et al.
US-	5205405	April 27, 1993	O'Brien et al.
US-	5425451	June 20, 1995	Blase
US-	2002/0185393 A1	December 12, 2002	Taguchi et al.
US-	2002/0189960 A1	December 19, 2002	Bard
US-			

Examiner:

Date Considered:

04-01-05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with

MPEP 609; draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

PTO/SB/08A (08-03)

	Approved for use through 07/31/2006, QMB 0651-003
	U.S. Potent and Trademod Officer II & DEDADTMENT AS ASSESSED
Under the Paperwork Reduction Act of 1995, no persons are required to respond	13 3 collection of information units a fi contains a collection of information units a

Substitute for fam	1443/PTQ	Complete if Known			
		Application Number	10/644,813		
INFORM	ATION DISCLOSURE	Filing Date	21 August 2003		
		First Named Inventor	MATSUNO, Akihisa		
	MENT BY APPLICANT	Art Unit			
(Uae	88 many sheets as necessary)	Examiner Name			
221 17	-4 2	Attaches Dealest Manufact	TARAK ANDA		

Examinar	Aha	B	D. S. PATENT	DOCUMENTS	
Ivigiala,	Cite No.	Document Number Number-Kind Code ^{2 (r house)}	Publication Cate MM-DO-YYYY	Name of Patenties or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passagas or Rubsvart Figures Appear
In		^{US-} 4,771,890	09-20-1988	Hofland et al.	
		^{US-} 5,417,323	05-23-1995	Presnik	
		^{US-} 5,590,768	01-07-1997	Hilton et al.	
<u>dir</u>		^{U&} 2002/0195409	12-26-2002	Ки	
		U\$-			
		US-			<u> </u>
		US.			
		U\$-			
		US-	 		
		US-		-	
		US-		·	
1		US-			
		US-	<u> </u>		
		US-			
		US-			

		FORE	ON PATENT DOCU	MENTS		
Examiner Initiate	Cito No.'	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Coherins, Unes, Where Relevant Passages	
		Country Code? "Number" "Yand Code? (if kngwy)	MIN-DD-YYYY		Or Relevant Figures Appear	78
		•				
						Г

Examiner			Date	
Signature		11.11	0	011-1105
)	10/100	Considered	4 /01/07
				_

EXAMINER: Initial if reference considered. whather or not distion is in conformance with MPEP 609. Draw line through cetation if not in conformance and not considered, include copy of this form with next communication to applicant. "Applicant's unique challen designation marker (optional)." See Kinds Codes of USPTO Patent Documents at application of the special properties and the document, by the two-letter code (WIPO Standard ST.3)." For Jappenese policine documents, into indication of the special of the Emperor must precede the section number of the patent documents. "Kind of document by the appropriate symbols as indicated on the document more WIPO Standard ST.16 if possible." Applicant is to place a check mark here if English language Translation is allached. This collection of information is required by 37 CPR 1.97 and 1.98. This information to retain or resain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CPR 1.14. This collection is estimated to take 2 hours to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the apparent to complete the form marker suggestions for rotain, should be seen to the Chief information Officer, U.S. Patent and Tradomark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO TRUS ADDRESS. SEND 170 receases and the second of the patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FEB 0 3 2005

PTO/SB/088 (06-05)
Approved for use through 073-12/0018, OMB 0031-0031
U.S. Patient and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Roduction Act of 1865, no persons are required to respond to a collection of information unless it contains a valid OMB control mander. Complete if Known Substitute for form 1449/PTO Application Number 10/844,813 INFORMATION DISCLOSURE Filing Date 21 August 2003 STATEMENT BY APPLICANT First Named Inventor MATSUNO, Akihisa Art Unit (Use as many sheets as necessary) Examiner Name Sheet 2 af 2 Attorney Docket Number M619 0001

	,	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-lesue number(s), publisher, city and/or country where published.	T ²
Sm		International Search Report, PCT/CA2004/001521, 14 January 2005	
du	-	Written Opinion of the International Searching Authority, PCT/CA2004/001521, 14 January 2005	
		·	

Signature . M. M.	Date Considered	0 -, -	<u>, 5</u>
EXAMINER: Initial or reference considered, whather or not offetion is in conformance with MPEP 600	Draw line through o	tation if not in conformance an	d nat

EVAMINER: Initial II reference considered, whether or not offsition is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

Applicant's unique challon designation number (optional). 2 Applicant is to piece a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.96. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting dis completed application form to the USPTO. Thus will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reading this burden, should be sent to the Chief Information Officar, U.S. Patent and Tradement Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.